

# HCBS Final Rule Evidentiary Package

## Princeton 8



### Setting information

Setting name: Paradigm Residential Services – Princeton 8	ID number: 1072040
Street address: 1105 6th Ave North, Princeton, MN	Phone: 763-402-1125
Website, if applicable: <a href="http://www.paraserv.org/">Paradigm Residential Services, Inc.</a> ( <a href="http://www.paraserv.org/">http://www.paraserv.org/</a> )	Date of site visit: 11/5/2018

## Waiver service type

Waiver service	Service type
<input type="checkbox"/> Alternative Care (AC) <input type="checkbox"/> Elderly Waiver (EW) <input type="checkbox"/> Brain Injury (BI) <input checked="" type="checkbox"/> Community Access for Disability Inclusion (CADI) <input type="checkbox"/> Community Alternative Care (CAC) <input checked="" type="checkbox"/> Developmental Disabilities (DD)	Adult foster care; Supported Living Services

## Reason for heightened scrutiny

Prong type	Category	Type of setting
Prong 3 Effects of isolating characteristics	Effect of isolating characteristic	Is one of multiple homes located on the same street or adjoining property that shares programming activities

Note: The term people/person (resident for residential settings) refers to people who receive Medicaid HCBS waiver service(s).

## General summary

Princeton is in east central Minnesota, and has a population of 4,698 recorded in the 2010 census. Princeton 8 is located in the heart of a residential area in Princeton, MN. The setting is a single family home where four people (on waivers) live. The setting is across the street from an elementary school, approximately 6 blocks from a middle school, 5 blocks from a local park, and also 5 blocks away from another Paradigm Residential Services residence located on the same street – Princeton 1.

## Foster care/supported living service provider standards/qualifications

Licensure requirements and other state regulations for foster care services clearly distinguish these services/settings from institutional licensure or regulations.

- Child and adult foster care (BI, CAC, CADI waivers) and supported living service providers (DD waiver) must be licensed under [Minnesota Statutes 245D](https://www.revisor.mn.gov/statutes/cite/245D) (<https://www.revisor.mn.gov/statutes/cite/245D>).
- Adult foster care (Elderly Waiver and Alternative Care program) provider must meet the licensure requirements found in [Minnesota Rules, parts 9555.5050– 9555.6265](https://www.revisor.mn.gov/rules/9555/) (<https://www.revisor.mn.gov/rules/9555/>) and [Minnesota Statutes, section 245A](https://www.revisor.mn.gov/statutes/cite/245A) (<https://www.revisor.mn.gov/statutes/cite/245A>).

## Foster care and supported living service definitions that support the setting requirements

Adult and child foster care for people on BI, CAC and CADI waivers:

Adult foster care is ongoing residential care and supportive services and includes personal care assistant services, homemaker, chore, behavioral aide services, companion services, and medication oversight (to the extent permitted under State law) provided in a licensed home. Minnesota's Community Based Service Manual (CBSM) provides requirements for [Adult or child foster care](http://www.dhs.state.mn.us/main/id_001786) ([http://www.dhs.state.mn.us/main/id\\_001786](http://www.dhs.state.mn.us/main/id_001786))

Supported living services for people on the DD waiver:

Supported living services are “residential habilitation services” provided to participants who live in a supported living environment. Residential habilitation services consist of assistance with acquisition, retention, or improvement in skills related to activities of daily living, such as personal grooming and cleanliness, bed making and household chores, eating and the preparation of food, and the social and adaptive skills necessary to enable people to reside in a non-institutional setting. Minnesota's CBSM provides requirements for [Supported living services](http://www.dhs.state.mn.us/main/id_048987#) ([http://www.dhs.state.mn.us/main/id\\_048987#](http://www.dhs.state.mn.us/main/id_048987#))

Adult foster care for people on the Elderly Waiver/Alternative Care program:

Minnesota's CBSM provides requirements for [Adult foster care](http://www.dhs.state.mn.us/main/id_056766#foster) ([http://www.dhs.state.mn.us/main/id\\_056766#foster](http://www.dhs.state.mn.us/main/id_056766#foster)) for people on the Elderly Waiver/Alternative Care program.

## Prong 3 settings

### Meaningful distinction between colocated settings

States must submit strong evidence that the setting presumed institutional has the characteristics of a HCBS setting and not an institutional setting.

Determination	Summary
<input checked="" type="checkbox"/> Met <input type="checkbox"/> Unmet <input type="checkbox"/> Not applicable	<p><b>Describe the extent to which any shared programming (meals, transportation, social/recreational activities) occurs between different homes/buildings</b> (how often, what type of programming or activities are shared) and <b>how the provider assures interests and preferences are supported at an individual level</b> (people are engaged in planning community activities based on their preferences, variety of transportation options, schedules are varied, reverse integration is not the sole form of “community integration”):</p> <p>The program administrator reported that shared programming happens two to three times per month, including meals, celebrating holidays such as German Fest or Halloween, and celebrating birthdays. Sometimes people in both settings will come together for activities like in-house bingo and a bonfire with s’mores. Transportation is shared on the weekend when a staff member from the Princeton 1 setting down the street will help transport people receiving services to church since there is a staff member who doesn’t drive.</p> <p>Staff assure interests and preferences are supported by asking people what they want to do, helping with transportation, purchasing tickets and creating activity schedules for the weekend, and having activities paid for by Paradigm according to the program administrator.</p>

Determination	Summary
<input checked="" type="checkbox"/> Met <input type="checkbox"/> Unmet <input type="checkbox"/> Not applicable	<p><b>Describe the extent to which staff are scheduled to work with people at the other colocated setting (s) on the same shift:</b></p> <p>Both the program administrator and staff reported that staff are not scheduled to work with people at the other Paradigm residence on the same street.</p>
<input type="checkbox"/> Met <input type="checkbox"/> Unmet <input type="checkbox"/> Not applicable	<p><b>Participants in the setting in question do not have to rely primarily on transportation or other services provided by the other co-located setting (s), to the exclusion of other options;</b> (Describe the proximity to avenues of available public transportation or an explanation of how transportation is provided where public transportation is limited.)</p> <p>We observed transportation information posted on a bulletin board where people could see. Transportation options available to residents of Princeton 8 include a vehicle that belongs to the setting, taxi, or Uber, transport by family members, bus options like Timber Trails and Heartland Express, medical transportation, and bicycles. The program administrator shared that transportation options are discussed when a new person is admitted to the residence and staff also discuss this at their team meetings. A person interviewed shared that staff help them arrange transportation, including staff driving and coordinating work transportation with Timber Trails.</p>

# Community engagement opportunities and experiences

A person interviewed reported that they like to go out and do things in the community more than two times per week, and they can do so as often as they would like. The community activities they enjoy most include going out to eat, walking around, and shopping on Saturdays.

Community activities mentioned by the administrator, staff, and people include:

- Going to the library
- Bowling
- Bingo
- Attending plays
- Community meals
- Attending church
- Support groups and meetings
- Just For You meals and activities
- Shopping
- Silver Sneakers exercise classes
- Going out to eat
- Walking

Staff reported that they work with people receiving services to create a calendar of activities after asking about preferences and doing research together in community education booklets and other brochures. People can choose not to participate in these activities and staying at home is always an option. Staff also reported that people go out on their own at least weekly. There were two people that were out on their own during this site visit.

On-site activities people engage in include watching TV, movies, coloring, writing, reading, crafts, bonfires, bingo, manicures, and puzzles.

It was evident in observation and interviews with people, staff, and the administrator that person-centered practices are at the forefront of service delivery by:

- Respecting and honoring the things each person thinks are important and encouraging informed choice and creativity.
- Supporting activities are flexible and work around the person's preferred schedule
- Encouraging people to share ideas and make choices about setting activities based
- Ensuring people have opportunities and supports they need to be fully included in their community, individually and in groups, as desired

## HCBS characteristics

This section is a summary of the individual HCBS characteristics required in the HCBS rule. The findings for each characteristic are identified through the setting attestation documentation, on-site observation or both.

HCBS Rule requirement	Compliance status (please select)
<p>Each person at the setting has a written lease or residency agreement in place providing protections to address eviction processes and appeals.</p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input checked="" type="checkbox"/> Observation made during on-site visit</p> <p>Each person at the setting has a residency agreement which is reviewed and signed at least annually or any time changes are made to the residency agreement.</p>	Compliant
<p>Each person at the setting has privacy in his/her sleeping or living unit including a lockable door.</p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input checked="" type="checkbox"/> Observation made during on-site visit</p> <p>Each person has a lockable door on their bedroom. The person interviewed reported they can lock their door.</p>	Compliant
<p>The setting facilitates that a person, who shares a bedroom, is with a roommate of his or her choice.</p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input type="checkbox"/> Observation made during on-site visit</p> <p>There are not shared bedrooms at this setting.</p>	Compliant



<p>The setting provides people with the freedom to furnish and decorate their bedroom and living unit within the lease or residency agreement.</p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input checked="" type="checkbox"/> Observation made during on-site visit</p> <p>Personal choices in decorations of individual bedrooms was observed during the on-site visit. Some bedrooms had family photos, everyone had different bedding, and other personal choices such as posters, books, TVs, and craft supplies. A person interviewed also reported they can decide how to furnish and decorate their room.</p>	Compliant
<p>The setting provides people the freedom and support to control their daily schedules including access to food at any time.</p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input checked="" type="checkbox"/> Observation made during on-site visit</p> <p>People receiving services were observed moving about the house freely during the on-site visit. One person was doing laundry and also spent time in the back yard, while another was watching TV and chose the show they watched as well as how long they watched. A person reported that they can decide what they want to do each day and said, "I tell staff and ask for help."</p> <p>The program administrator reported that people receiving services can grab snacks when they're hungry and have access to cupboards and refrigerators.</p>	Compliant
<p>The setting allows people to have visitors at any time.</p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input checked="" type="checkbox"/> Observation made during on-site visit</p> <p>A person receiving services reported that they could have visitors at any time. We did not observe any visitors at the time of the site visit. We did not observe a sign-in log, posted visitor hours, or a restricted visitor meeting area.</p>	Compliant



<p>The setting provides opportunities for people to seek employment and work in competitive integrated settings.</p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input checked="" type="checkbox"/> Observation made during on-site visit</p> <p>The program administrator reported that all people receiving services at Princeton 8 attend a Day Training and Habilitation program and one of them is currently pursuing other jobs.</p> <p>Staff support people who work by supporting transportation to and from work and helping people get ready for work including washing hair, putting on compression socks, and making lunches.</p>	Compliant
<p>The setting provides people opportunities to access and engage in community life.</p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input checked="" type="checkbox"/> Observation made during on-site visit</p> <p>Staff reported that they work with people to create a calendar of activities after asking about preferences and doing research together in community education booklets and other brochures. Staff also reported that people go out on their own at least weekly. Two of people were in the community on their own during this on-site visit.</p> <p>A person receiving services shared that they like to go out and do things in the community more than two times per week, and they have the opportunity to do so as often as they would like. The community activities they enjoy most include going out to eat, walking around, and shopping on Saturdays.</p>	Compliant
<p>The setting supports the person's control of personal resources.</p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input checked="" type="checkbox"/> Observation made during on-site visit</p> <p>A person interviewed reported that they can access their money to spend how they'd like.</p>	Compliant

<p>The setting ensures people’s right to privacy.</p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input checked="" type="checkbox"/> Observation made during on-site visit</p> <p>We observed that there are keyed locks on each bedroom door.</p>	Compliant
<p>The setting ensures people’s dignity and respect.</p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input checked="" type="checkbox"/> Observation made during on-site visit</p> <p>Staff were observed treating people with dignity and respect during the on-site visit.</p>	Compliant
<p>The setting ensures people’s freedom from coercion and restraint.</p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input checked="" type="checkbox"/> Observation made during on-site visit</p> <p>A person interviewed reported they can get to the kitchen, dining room, living room, bedroom, and bathroom when they want or need to. This person also reported that they can participate in community activities they enjoy as often as they like.</p>	Compliant
<p>The setting optimizes individual initiative, autonomy, and independence in making life choices, including daily schedule and with whom to interact.</p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input checked="" type="checkbox"/> Observation made during on-site visit</p> <p>A person interviewed reported that they can decide what they do daily and when. This person also shared that they can grab a snack when they get hungry.</p>	Compliant

## Pictures of the HCBS setting

